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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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04/20/2001

David Kerr

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05/18/2005

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EXAMINER

NGUYEN BA, PAUL H

ART UNIT

PAPER NUMBER

2176

DATE MAILED: 05/18/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

## Office Action Summary

Application No.

09/838,299

Applicant(s)

KERR ET AL.

Examiner

Paul Nguyen-Ba

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 10 May 2005.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-19 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-19 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All b) ☐ Some \* c) ☐ None of:
1. ☒ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- 1) ☐ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)  
Paper No(s)/Mail Date \_\_\_\_\_.
- 4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_\_.
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: \_\_\_\_\_.

## DETAILED ACTION

### *Notice to Applicant*

1. This action is responsive to Applicant Amendment, filed 12/28/2004.
2. Claims 1-19 are currently pending. Claims 1, 8, 14, and 17 are independent claims.

### *Priority*

3. Receipt is acknowledged of papers submitted under 35 U.S.C. 119(a)-(d), which papers have been placed of record in the file.

### *Claim Rejections - 35 USC § 102*

4. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

5. Claims 1-6, 8-10, 14, 15, 17, and 18 are rejected under 35 U.S.C. 102(b) as being anticipated by Cavendish et al. ("Cavendish"), U.S. Patent No. 5,408,659.

**Independent Claims 1, 8, 14, 17 and Dependent Claims 2 and 10**

Cavendish teaches a method and processing system for defining a link between first and second applications windows on a processing system (see Abstract), the processing system having a database (see Fig. 2; col. 7, lines 25-28) and at least one remote end station (col. 5, lines 61-66 → CPU with connected display device) coupled to the database a communications system, operating the end station so as to:

*access a first applications window* (see Fig. 3; col. 8, lines 1-14);

*cause the processing system to enter a link defining mode and access second applications window, thereby causing the processing system to define link between the first and second applications windows; in response to accessing the second applications window;* (see Figs. 3-6; col. 8, lines 10-61 → **link pane class application framework** enables implementation of a link defining mode wherein a user may define a link between a first and second application window by simply accessing a first or second applications window by dragging the icon access the respective locations in either); and

*generate link data defining the link, the link data being stored on the database* (see Fig. 2; col. 7, lines 25-28 → relational database).

**Claims 3, 9, and 15**

Cavendish further teaches displaying the applications window on a display of the end station (see Figs. 3-6).

#### **Claim 4**

Cavendish further teaches the link being defined *to allow the second applications window to be accessed directly from the first applications window* (see Figs. 3-6; col. 8, lines 10-61 → simply drag the icon representing the second application onto the first application window using the click-and-drag method to all second applications window to be accessed directly from the first applications window).

#### **Claims 5 and 6**

Cavendish further teaches presenting the *link (defined as an icon) within the first applications window* (see Figs. 3 and 6; col. 8, lines 23-31 → an object which associates a point in one object with a point in another object is called a link).

#### **Claim 18**

Cavendish further teaches a database *storing applications data associated with the first and second applications windows* (see Fig. 2; col. 7, lines 25-28 → relational database).

### ***Claim Rejections - 35 USC § 103***

6. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

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7. Claims 7, 11-13, 16, and 19 are rejected under 35 U.S.C. 103(a) as being unpatentable over Cavendish et al. ("Cavendish"), U.S. Patent No. 5,408,659, in view of Cragun, U.S. Patent No. 6,177,936.

**Claims 7, 11, 16, and 19**

Cavendish teaches a method and processing system for defining a link between first and second applications windows on a processing system (see Abstract), the processing system having a database (see Fig. 2; col. 7, lines 25-28) and at least one remote end station (col. 5, lines 61-66 → CPU with connected display device) coupled to the database a communications system with respect to independent claims 1, 8, 14, and 17 as discussed above.

Cavendish does not specifically teach the use of a *respective identifier, wherein the link data is stored in accordance with the respective user identifier such that each user can define respective links.*

However, Cragun teaches a system and method for providing contextual information for multiple windows wherein a user profile is maintained for the purpose of storing a user's preferences for use at a later date (see Figs. 8 and 9; col. 8, lines 27-33).

Since Cavendish and Cragun are both from the same field of endeavor, the purposes disclosed by Cragun would have been recognized in the pertinent art of Cavendish. It would have been obvious at the time the invention was made to a person having ordinary skill in the art to modify the teaching of Cavendish with the teachings of Cragun to include a user profile, identified by an identifier, for storing the link defining preferences of the user for the purpose of storing a user's preferences for use at a later date.

### **Claim 12**

Cavendish teaches the processing system with respect to claim 10 as discussed above, but does not specifically teach the storing the link data in the database in accordance with the user password.

However, Cragun teaches a system and method for providing contextual information for multiple windows wherein a user profile is maintained for the purpose of storing a *particular* user's preferences for use at a later date (see Figs. 8 and 9; col. 8, lines 27-33).

It was commonly known to those of ordinary skill in the art to use passwords to ensure that only the appropriate user can access the database storing his/her profile. It would have been obvious at the time the invention was made to a person having ordinary skill in the art to include a user password for the purpose of accessing a particular user profile in a database.

### **Claim 13**

Cavendish teaches the processing system with respect to claim 12 as discussed above, but does not specifically teach the end station processor being adapted to receive and transfer the user identifier to the center processor, and the center processor being adapted to transfer any link data stored in the database.

However, Cragun teaches a system and method for providing contextual information for multiple windows wherein a user profile is maintained for the purpose of storing a *particular* user's preferences for use at a later date (see Figs. 8 and 9; col. 8, lines 27-33).

It was commonly known to those of ordinary skill in the art to provide a processor adapted to receive and transfer a user identifier to a center processor to transfer data stored in a database for the purpose of providing storing and providing access to link definitions to the appropriate user. It would have been obvious at the time the invention was made to a person having ordinary skill in the art to provide a processor adapted to receive and transfer a user identifier to a center processor to transfer data stored in a database for the purpose of providing storing and providing access to link definitions to the appropriate user.

### ***Response to Arguments***

8. Applicant's arguments filed 12/28/2004 have been fully considered but they are not persuasive.

**Examiner Note:** Examiner assumes references to Knudsen (Applicant Argument - pg. 10) were meant to be directed to the Cavendish reference.

Applicant first contends that Cavendish does not disclose or suggest that the processing system defines a link between first and second applications windows *in response to accessing the second applications window*. Examiner respectfully disagrees.

The link pane class application framework enables implementation of a link defining mode wherein a user may define a link between a first and second application window by simply writing data to a mass-storage device (see col. 4 lines 4-27 and col. 7 lines 24-46) (*compare with* “accessing” – defined by [www.pcwebopedia.com](http://www.pcwebopedia.com) as: “using” or “reading data from or writing



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data to a mass storage device”) by dragging the icon to the respective locations in either a first or second applications window and reading data therefrom (see Figs. 3-6; col. 8, lines 10-61).

Applicant further contends that Cragun does not disclose or suggest the use of a *respective identifier*. Examiner respectfully disagrees.

Cragun teaches storing user-settings/preferences in defining hierarchical link services between windows (see col. 10 lines 22-45 *et seq.*). It is inherent that the user-setting/preferences would have respective identifiers to specify or designate the settings in storage for later retrieval. If a user-setting/preference was not specified or designated with an identifier, there would exist no means by which to save or execute the user-settings/preferences.

### *Conclusion*

9. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event,

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however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Paul Nguyen-Ba whose telephone number is (571) 272-4094.

The examiner can normally be reached on 10 am - 6:30 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Joseph Feild can be reached on (571) 272-4090. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

PNB

  
JOSEPH FEILD  
SUPERVISORY PATENT EXAMINER